



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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(3)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/765,226	01/18/2001	Baining Liu	101215-54

## CONFIRMATION NO. 7377

## FORMALITIES LETTER



\*OC000000005925924\*

Bruce S. Londa  
NORRIS, McLAUGHLIN & MARCUS, P.A.  
30th Floor  
220 East 42nd Street  
New York, NY 10017

Date Mailed: 04/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

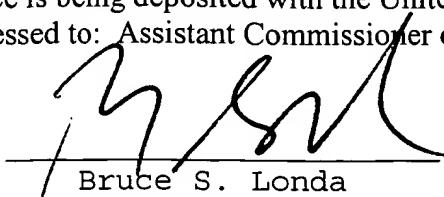
PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/13/2001 HMD0R1  
00000122 141263  
01 FC:205  
65.00 CH



H-A  
\$ Sector ✓  
PATENTS  
MAILING CERTIFICATION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on April 9, 2001



Bruce S. Londa

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty's Docket No. 101215-55

Applicant : Baining Liu et al.

Appln. Number : 09/765,226

Filed : Jan. 18, 2001

For : Frequency-converted Laser Apparatus with Frequency Conversion Crystals

BOX Missing Parts

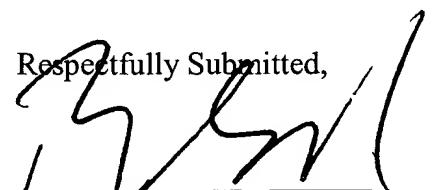
Hon. Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(d) mailed April 2, 2001, submitted herewith is the declaration required under 37 CFR 1.63

Please charge the surcharge of \$65.00, applicant being entitled to small entity status, to deposit account no. 14-1263.

Respectfully Submitted,



Bruce S. Londa (33,531)

Attorney Applicant  
Norris, McLaughlin & Marcus P.A.  
220 East 42<sup>nd</sup> Street, 30<sup>th</sup> Floor  
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APR 12 2001

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$65.00

## Complete if Known

Application Number	09/765,226
Filing Date	Jan. 18, 2001
First Named Inventor	Laining Liu et al.
Examiner Name	TBA
Group Art Unit	TBA
Attorney Docket No.	101215-55 (New) (old -101215-54)

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **14-1263**Deposit Account Name **Norris, McLaughlin & Marcus** Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 Applicant claims small entity status. See 37 CFR § 1.27

2.  Payment Enclosed:

 Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)  
101 710 201 355Fee Code (\$)  
106 320 206 160Fee Code (\$)  
107 490 207 245Fee Code (\$)  
108 710 208 355Fee Code (\$)  
114 150 214 75

Fee Paid

Utility filing fee

Design filing fee

Plant filing fee

Reissue filing fee

Provisional filing fee

SUBTOTAL (1)

## 2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims <input type="text"/> -20** = <input type="text"/> 0	X <input type="text"/>	= <input type="text"/> 0.00
Independent Claims <input type="text"/> - 3** = <input type="text"/> 0	X <input type="text"/>	= <input type="text"/> 0.00
Multiple Dependent		

Large Entity Small Entity

Fee Code (\$)  
103 18 203 9

Fee Description

Claims in excess of 20

Fee Code (\$)  
102 80 202 40

Independent claims in excess of 3

Fee Code (\$)  
104 270 204 135

Multiple dependent claim, if not paid

Fee Code (\$)  
109 80 209 40

\*\* Reissue independent claims over original patent

Fee Code (\$)  
110 18 210 9

\*\* Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

\$0.00

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non - English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR § 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$65.00

## SUBMITTED BY

Complete if applicable

Name (Print/Type)	Bruce S. Ionda	Registration No. (Attorney/Agent)	33,531	Telephone	212-808-0700
Signature		Date	April 9, 2001		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.